# Introduction

The Sequence Read Archive (SRA) database at NCBI archives next generation (nextgen) sequence data submitted by the biomedical research community. NCBI also made most of the nextgen data from SRA accessible from the Web BLAST service. However, the rapidly increasing volumes of SRA data and the constraint of computational resources available make the performance of this SRA data access through BLAST uneven.

The standalone sratoolkit package from NCBI offers a viable and more reliable alternative. Using tools from this package, we can retrieve the desired dataset using prefetch, then BLAST search the downloaded dataset using the blastn\_vdb (for nucleotide query) or tblastn\_vdb (for protein query). We can retrieve matched hits from the target dataset using fastq-dump with the SRR accession and spot ID as input. This approach works best for Linux and Mac OSX platforms. For PC running Windows 10, the work around is to install a free Linux Subsystem APP, then install the sratoolkit under the Linux environment under the APP.

This handout addresses the installation and configuration of the sratoolkit under a CentOS Linux system (using a user's home directory that runs bash), demonstrates the downloading of example datasets from SRA, BLAST search against the downloaded datasets, and retrieval of sample hits from these datasets. We are using the home directory as the working directory.

## Download and Installation of the sratooklit from NCBI

The latest version of the sratoolkit are available from the SRA software download page: https://trace.ncbi.nlm.nih.gov/Traces/sra/sra.cgi?view=software

Right click the file name for the Cent OS non-sudo package, and copy the link for use with wget:

\$ wget -o sratoolkit.tar.gz "https://ftp-trace.ncbi.nlm.nih.gov/sra/sdk/2.10.9/sratoolkit.2.10.9-centos\_linux64.tar.gz"

The command saves the archive to a file named by the -o argument (-o sratoolkit.tar.gz).

Use tar utility to decompress and extract the downloaded archive to install it:

```
$ tar zxvpf sratoolkit.tar.gz
```

This inflates the compressed archive, then extracts the sratoolkit package in a new subdirectory. The following command shows the installed subdirectory:

Use Is command to see what are in the installed subdirectory:

```
$ ls -l sratoolkit.2.10.9-centos_linux64/
total 56
-rw-r--r-- 1 tao sdesk 24372 Dec 15 11:59 CHANGES
-rw-rw-r-- 1 tao sdesk 5641 Feb 2 2015 README-blastn
-rw-rw-r-- 1 tao sdesk 4975 Dec 4 2017 README-vdb-config
-rw-rw-r-- 1 tao sdesk 3792 Jul 21 2020 README.md
drwxrwxr-x 3 tao sdesk 4096 Dec 11 14:25 bin
drwxrwxr-x 3 tao sdesk 4096 Oct 11 2016 example
drwxrwxr-x 8 tao sdesk 4096 Dec 10 16:32 schema
```

Actual programs are in the /bin subdirectory with the release version suffix to make the program name. Program names without release version suffix are also available to help avoid breaking users' custom workflow, they are soft links to the actual program.

For this demonstration, we only uses the following programs:

vdb-config
prefetch
blastn\_vdb
tblastn\_vdb
fastq-dump

# Configuration of Installed sratooklit

### Modify PATH environment variable

Before configuring the installation, first modify the PATH variable by append the path to the sratoolkit's bin subdirectory so the system knows where to look for the invoked program:

#### \$ export PATH=\$PATH:~/sratoolkit.2.10.9-centos linux64/bin

A bash command to export a variable called PATH, by set it to existing value (\$PATH), with append (:) and additional path (~/sratoolkit.2.10.9-centos linux64/bin, where ~ marks the home directory).

### Configure the sratoolkit

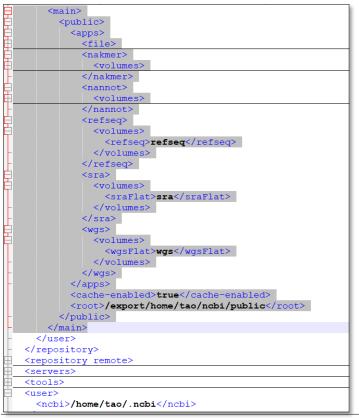
This step specifies where the installed sratoolkit's programs should look for to store downloaded SRA datasets, and where blast programs should look for datasets locally. The configuration goes through the vdb-config program through in interactive text menu-drive interface, to be explained through text description and screenshots.

### \$ vdb-config -i

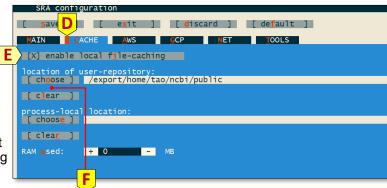
This launches a DOS-like window (A). There the active item is marked by red rectangle (B). Use tab key to cycle through different menu options. Alternatively, use red letter or mouse click to jump to specific options.

### Specific setting changes:

- 1) <u>Disable remote access.</u> Since it is unreliable for blast to fetch the data during the search, disable this by typing "e" to toggle off the "Enable Remote Access" checkbox (i.e., remove the X, **C**).
- 2) Enable local file-caching. Type "c" to go to the Cache menu (D), and toggle on "enable local file-caching" by typing "l" (i.e., enable the X, E).









- 3) Edit the Cache directory if needed. Type "o" to go to the "choose" line, hit enter to invoke the select directory prompt (**F**). Tab to "Goto" option and hit enter to edit the path in the "goto path" prompt (**G**). Tab to "OK" and hit enter to return to previous prompt, confirm the change when prompted (**H**).
- 4) <u>Save the changes</u>. Type "s" to save, then "x" to exist the configuration.

### **Examine the existing configuration**

Call vdb-config to generate the configuration xml:

vdb-config > my\_vdb\_settings.xml

Use an xml file editor to interactively examine the settings as shown (left, local file storage section).

# Searching against SRA Datasets

The demonstration consists of two use cases. The queries will be the cDNA sequence (XM\_001421454) and its encoded protein (XP 001421491) of a theoretical fatty acid synthase. The goal is to find related genomic reads matching to the input cDNA, and the RNA-seq reads for the protein from closely related species.

The genomic and RNA-seg reads are from SRA searches https://go.usa.gov/xsd83 and https://go.usa.gov/xsd8a, respectively. Steps to batch process the list using EntrezDirect to get the SRR accessions is in the note.

### Use Case 1: Finding the genomic reads for the input cDNA guery

1. Download the SRR runs to local storage

Copy and paste the following SRR accessions into a text file named srr list.txt:

SRR4026730 SRR4026187

Invoke the prefetch to download:

```
$ prefetch --option-file srr list.txt
```

which will produces console output similar to:

```
2021-03-11T18:38:45 prefetch.2.10.9: 1) Downloading 'SRR4026730'...
2021-03-11T18:38:45 prefetch.2.10.9: Downloading via HTTPS...
2021-03-11T18:39:23 prefetch.2.10.9: HTTPS download succeed
2021-03-11T18:39:26 prefetch.2.10.9: 'SRR4026730' is valid
2021-03-11T18:39:26 prefetch.2.10.9: 1) 'SRR4026730' was downloaded successfully
2021-03-11T18:39:26 prefetch.2.10.9: 'SRR4026730' has 0 unresolved dependencies
```

Use vdb-validate to validate the runs if desired.

```
$ vdb-validate --option-file srr_list.txt
```

Output is not shown.

## 2. Search the cDNA sequence against the downloaded SRR runs

Create a simple query file:

```
$ echo XM_001421454 > lipid_synthase_nt.acc
```

BLAST search the query against the download runs:

```
$ blastn vdb -query lipid synthase nt.acc -db "SRR4026730 SRR4026187" -task dc-megablast \
   -outfmt 7 -max_target_seqs 2000 -out cdna_vs_genomic.txt
```

This calls blastn vdb, use file as query (-query lipid synthase nt.acc), search against the two runs (-db "SRR4026730 SRR4026187"), with discontiguous megablast (-task dc-megablast), requests tabular output (-outfmt 7) for 2000 hits (max target segs 2000) to be saved in a file (-out cdna vs genomic.txt). For pairwise alignment, use -outfmt 0.

## 3. Retrieve hits with the reads' sequence id

```
The matched reads are marked by their sequence ids given in second column, such as this:
XM_001421454.1 <u>SRA:SRR4026730.20433005.2</u>
                                               92.105 76
                                                                                               76
                                                                                                       1.23e-21
       111
```

Invoke sam-dump to retrieve the reads. Paired layout will retrieve two reads that needs further cleanup.

```
$ fastq-dump -Z -I -N 20433005 -X 20433005 --split-files --fasta 70 ./ncbi/public/sra/SRR4026730.sra
```

This calls fastq-dump, and request console output (-Z), with reads id enabled (-I). It asks one spot at a time by using the same spot id input to min (-N) and max (-X) options, with reads split (--split-files), in fasta format (--fasta 70, 70 bases per line). The local file path with extension is required for reading from locally stored runs.

This output the following to the console, with only the .2 read being the one matching to input query.

```
Read 1 spots for ./ncbi/public/sra/SRR4026730.sra
Written 1 spots for ./ncbi/public/sra/SRR4026730.sra
>SRR4026730.20433005.1 20433005 length=76
ACAGAGCCGGCGGAGGTGTTGCCATACTCGGCAATGTTGCTAACTACCTTGTCTTCAGTCAAGCCAAATC
GCTGCG
><u>SRR4026730.20433005.2</u>20433005 length=76
CGTTTTGCAACATCTCCATGAACGGGCAAGACGTCTTCAAGTTTGCCGTGCGAACGGTCCCGATGACGGT
GAACAA
```

# Searching against SRA Datasets (cont.)

## Use Case 2: Finding cDNA reads for an input protein query

1. Download the SRR runs to local storage

Copy and paste the following SRR accessions into a text file named srr rna list.txt:

SRR7121159 SRR7121158

Invoke the prefetch to download:

```
$ prefetch --option-file srr_rna_list.txt
```

The console output is omitted.

### Invoke vdb-validate to validate the download:

```
$ vdb-validate --option-file srr rna list.txt
2021-03-11T21:54:23 vdb-validate.2.10.9 info: Validating '/export/home/tao/ncbi/public/sra/
SRR7121159.sra'...
2021-03-11T21:54:23 vdb-validate.2.10.9 info: Database 'SRR7121159.sra' metadata: md5 ok
2021-03-11T21:54:23 vdb-validate.2.10.9 info: Table 'SEQUENCE' metadata: md5 ok
2021-03-11T21:54:23 vdb-validate.2.10.9 info: Column 'ALTREAD': checksums ok
2021-03-11T21:54:23 vdb-validate.2.10.9 info: Column 'QUALITY': checksums ok
2021-03-11T21:54:23 vdb-validate.2.10.9 info: Column 'READ': checksums ok
2021-03-11T21:54:23 vdb-validate.2.10.9 info: Database '/export/home/tao/ncbi/public/sra/SRR7121159.sra'
contains only unaligned reads
2021-03-11T21:54:23 vdb-validate.2.10.9 info: Database 'SRR7121159.sra' is consistent
```

### 2. Search the protein sequence against the downloaded SRR runs

Create a simple query file:

```
$ echo XP_001421454 > lipid_synthase_aa.acc
```

Search the query with tblastn\_vdb against downloaded runs:

```
$ tblastn_vdb -query lipid_synthase_aa.acc -db "SRR7121159 SRR7121158" -num_descriptions 2000
 -num alignments 2000 -outfmt 0 -out prot vs cdna.txt
```

Examine the result using shell command, sample Descriptions and Alignments sections are:

```
Score
Sequences producing significant alignments:
                                                                     (Bits) Value
                                                                      87.8
                                                                              3e-20
SRA:SRR7121159.478097.2 478097
>SRA:SRR7121158.4239950.1 4239950
Length=125
Score = 87.8 bits (216), Expect = 3e-20, Method: Composition-based stats.
Identities = 39/41 (95%), Positives = 41/41 (100%), Gaps = 0/41 (0%)
Frame = -1
Query 161 IGLVNGAQFIRAGGYKNVLVIGGDVLSRYVDWRDRGTCILF
           IGLVNGAQ+IRAGG+KNVLVIGGDVLSRYVDWRDRGTCILF
Sbjct 125 IGLVNGAQYIRAGGFKNVLVIGGDVLSRYVDWRDRGTCILF 3
```

### 3. Retrieve the hits from the locally stored runs

Use the same approach described in Use Case 1 to retrieve hits of interest. For example, those hits can be used to assemble the full-length CDS of the homolog from this closely related organism.

Refer to the note for additional information for additional information on batch retrieval of reads from a given a set of matches in tabular output, based on the output from these two use cases.

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# **Advanced Setup**

For large datasets, here are additional suggested ways to batch and streamline the steps described in these two used cases.

### 1. Use EntrezDirect (EDirect) to locate SRA runs of interest and extract the run accessions.

The best way to examine the available SRA datasets is again through web SRA searches (www.ncbi.nlm.nih.gov/sra), using Query Builder under the Advanced page. However, processing a large set manually is impractical.

EntrezDirect package for unix provides a convenient way for us automate this process. An example set of commands below demonstrates that. Replacing the query terms within quotes will process query-specified custom set.

```
$ esearch -db sra -query 'SRR448586 OR SRR448575 OR SRR448580 OR SRR448581' | \
    esummary | xtract -pattern DocumentSummary -PRJ Bioproject -SMPL Biosample \
    -element "&PRJ" "&SMPL" Run@acc Run@total_spots Run@total_bases > run_summary_info.txt
```

The above set of EDirect commands searches SRA with a set query terms in quote, passes the information to retrieve the esummary XML, then use xtract parser to pick up required information from each record. The output is redirected to a file for record keeping. It contains Bioproject, Biosample, Run, total spots, and total bases as shown below:

```
SAMN02953814 SRR448586
                                                       22927230200
PRJNA144099
                                         114636151
PRJNA144099
             SAMN02953814
                           SRR448581
                                         100024835
                                                       20004967000
PRJNA144099
             SAMN02953814 SRR448580
                                         74910341
                                                       7341213418
PRJNA144099
            SAMN02953814 SRR448575
                                         124268011
                                                       24853602200
```

Extracting the third column to a new file creates an input file for prefetch for batch download:

```
$ cut -f3 run_summary_info.txt > run_acc_list.txt
```

More information on EDirect is online at www.ncbi.nlm.nih.gov/books/NBK179288.

#### 2. Use a list of run accessions for batch download.

Prefetch takes an input file through the --option-file <file> argument. When the input file is a list of run accessions, it will try to download them all if there are enough space in the working directory. The following command does just that:

\$ prefetch --option-file run acc list.txt

Which reports progresses to the console, as discussed in Use Case 1 earlier.

#### 3. BLAST search against downloaded runs

The blastn\_vdb program is for searching a nucleotide query directly against selected runs. The tblastn\_vdb program is for search a protein query against selected runs translated in all six frames on the fly.

```
For small set of runs, the -db argument accepts a space-separated list of databases in quotes: $ blastn vdb -db "SRR448580 SRR448586 SRR448581 SRR409113 SRR409114" ...
```

For convenience, especially for a long list of runs or for frequently used set, a database alias file should be used instead. Such an alias file is basically a plain text file with defined format. It instructes BLAST programs from sratoolkit on what runs to search. An alias file must have the .nvl extension, with the following base format:

```
# Alias file template, created on Wed Mar 10 18:45:58 2021 TITLE Sample SRA database with multiple runs VDBLIST SRR448580 SRR448586 SRR448581 SRR409113 SRR409114 NSEQ 827678676 LENGTH 75127012818
```

The lines start with # or field name in CAPITAL letters:

- # marks comment lines to provide reference information.
- TITLE is the title we give to the database.
- VDBLIST line contains a space-limited run accessions already downloaded locally.
- NSEQ line contains the number of sequences, reads more precisely for run datasets
- LENGTH is the total number of bases.

The input to the last two lines can be calculated from the EDirect output above. If you don't have them, leave the lines OUT altogether. If the file is saved as **test\_srr\_db.nvl**, call the database using the filename without the .nvl extension: \$ blastn\_vdb -db test\_srr\_db ...

# **Advanced Setup (cont.)**

### 4. Extract hits from the runs.

The best results format for parsing is the tabular output. For the following output generated by -outfmt 7 (Q.out), the second column contains the information for read extract from the locally stored runs. Note that columns in this output can be customized to include other information, type "blastn\_vdb -help" to see the details.

```
$ cat Q.out
# BLASTN 2.10.1+
# Query: XM 001421454.1 Ostreococcus lucimarinus CCE9901 predicted protein partial mRNA
# Database: SRR4026730
# Fields: query acc.ver, subject acc.ver, % identity, alignment length, mismatches, gap opens, q. start, q.
end, s. start, s. end, evalue, bit score
# 10 hits found
                                             92.105 76
                                                                           767
                                                                                                  76
XM_001421454.1 <u>SRA:SRR4026730.20433005.2</u>
                                                            6
                                                                                   842
                                                                                                          6.31e-
22
       111
# BLAST processed 1 queries
```

The following shell commands process it to split out column for use with fastq-dump to dump out the reads of interest.

```
$ grep -v "#" Q.out | cut -f 2 | \
sed -r "s/SRA:(([DES]RR[0-9]+)\.([0-9]+)\.([0-9]+))/1\t\3\t\3\t\2/;" > Q_match_read.txt
```

It greps out the hit lines, cut out the second column, then split out the relevant subfields and send the output to a file named Q matth read.txt in a tab-delimited format:

```
SRR4026730.20433005.2 20433005
                                     20433005
                                                    SRR4026730
SRR4026730.19638698.2 19638698
                                     19638698
                                                    SRR4026730
SRR4026730.18592619.2 18592619
                                     18592619
                                                    SRR4026730
SRR4026730.17745360.1 17745360
                                                    SRR4026730
                                     17745360
SRR4026730.17018021.2 17018021
                                     17018021
                                                    SRR4026730
SRR4026730.13308509.2 13308509
                                     13308509
                                                    SRR4026730
SRR4026730.11682094.2 11682094
                                     11682094
                                                    SRR4026730
SRR4026730.11195287.2 11195287
                                     11195287
                                                    SRR4026730
SRR4026730.4265430.2 42654304265430SRR4026730
SRR4026730.580275.2 580275 580275 SRR4026730
```

The first column is for reference need to keep track matched reads, the second and third column are the same read id, and the last column, the run accession. It can be used as input to fastq-dump for read extraction:

```
$ cut -f 2,3,4 Q_match_read.txt | xargs -n 3 sh -c 'fastq-dump -Z -I --split-files --fasta 70 -N $0 -X $1
ncbi/public/sra/$2.sra >>reads_extracted.fa'
```

It takes column 2-4 from the input and pass it to xargs for processing with fastq-dump by feeding column 2 to -N, column 3 to -X, and column 4 as run argument with path prefixed.

The reads will be directed and appended to the specified file, the console output is only for our information:

```
Read 1 spots for ncbi/public/sra/SRR4026730.sra
Written 1 spots for ncbi/public/sra/SRR4026730.sra
Read 1 spots for ncbi/public/sra/SRR4026730.sra
Written 1 spots for ncbi/public/sra/SRR4026730.sra
```

#### The fasta dump looks like this:

```
$ head -6 reads_extracted.fa
>SRR4026730.20433005.1 20433005 length=76
ACAGAGCCGGCGGAGGTGTTGCCATACTCGGCAATGTTGCTAACTACCTTGTCTTCAGTCAAGCCAAATC
GCTGCG
>SRR4026730.20433005.2 20433005 length=76
CGTTTTGCAACATCTCCATGAACGGGCAAGACGTCTTCAAGTTTGCCGTGCGAACGGTCCCGATGACGGT
GAACAA
```